

The Non-vanishing of Traces of Hecke Operators

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Automorphic Forms Workshop, May 21, 2024

Based on Joint Work with Liubomir Chiriac and Daphne Kurzenhauser

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- 3 Fix $2k, N$ and let m vary.

We will focus mostly on 1 in this presentation.

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For all positive integers N and $m \geq 2$ coprime to N , the function

$$R(\Gamma_0(N), m; x) := \sum_{k=1}^{\infty} \text{Tr } T_m(2k, \Gamma_0(N)) x^{k-1}$$

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Once one has a linear recurrence for $\text{Tr } T_m(2k, \Gamma_0(N))$ in k , one can study the sequence with p -adic methods, asymptotics, etc.

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Rouse's approach involves the notion of "projective equivalence" which allows one to reduce the problem to checking that only finitely many recurrences are nonvanishing.

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- 1 Use the Eichler-Selberg Trace Formula to find a convenient combinatorial formula for $\mathrm{Tr} T_3(2k)$.
- 2 Exhibit a linear recurrence for $\mathrm{Tr} T_3(2k)$.
- 3 Use p -adic methods to show that this linear recurrence only vanishes at the desired values of k .

Combinatorial formula for $\text{Tr } T_3(2k)$

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For $\text{SL}_2(\mathbb{Z})$, one formulation of the Eichler-Selberg Trace Formula is given by Zagier:

$$\text{Tr } T_m(2k) = -\frac{1}{2} \sum_{t^2 \leq 4m} P_{2k}(t, m) H(4m - t^2) - \frac{1}{2} \sum_{d|m} \min(d, m/d)^{2k-1}$$

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- $H(n)$ is the Hurwitz class number, which is the number of positive definite quadratic forms with discriminant $-n$ (up to equivalence). We weight the class containing $x^2 + y^2$ by $1/2$ and the class containing $x^2 + xy + y^2$ by $1/3$.

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This means

$$\text{Tr } T_3(2k) = -\frac{2}{3}P_{2k}(0, 3) - P_{2k}(1, 3) - P_{2k}(2, 3) - \frac{1}{3}P_{2k}(3, 3) - 1.$$

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Furthermore, it's straightforward to show that

$$P_{2k}(t, m) = \sum_{j=0}^{k-1} (-1)^j \binom{2k-2-j}{j} m^j t^{2k-2-2j}.$$

Combinatorial formula for $\text{Tr } T_3(2k)$

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$$S_3 = 2 \cdot (-3)^{k-2} + \sum_{j=0}^{k-1} (-1)^j \binom{2k-2-j}{j} 3^{2k-3-j}.$$

Linear Recurrence for $\text{Tr } T_3(2k)$

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Proposition

Let $\{a_n\}_{n \geq 0}$ and $\{b_n\}_{n \geq 0}$ be given by

$$a_n = -5a_{n-1} - 9a_{n-2} \text{ for } n \geq 2 \quad a_0 = 1, \quad a_1 = -2$$

and

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Then for all $k \geq 2$, we have

$$\text{Tr } T_3(2k) = \begin{cases} -1 - a_{k-1} - b_{k-1} & \text{if } k \equiv 2, 5 \pmod{6} \\ -1 - a_{k-1} - b_{k-1} - 3^{k-1} & \text{if } k \equiv 1, 3 \pmod{6} \\ -1 - a_{k-1} - b_{k-1} + 3^{k-1} & \text{if } k \equiv 0, 4 \pmod{6} \end{cases}$$

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Proof.

Using generating functions and the negative binomial series gives

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Using generating functions and the negative binomial series gives $S_1 = a_{k-1}$ and $S_2 = b_{k-1}$. It remains to show that

$$S_3 = \begin{cases} 0 & \text{if } k \equiv 2, 5 \pmod{6} \\ 3^{k-1} & \text{if } k \equiv 1, 3 \pmod{6} \\ -3^{k-1} & \text{if } k \equiv 0, 4 \pmod{6} \end{cases}.$$

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Some algebra reduces this to finding an explicit formula for

$$\sum_{j=0}^n (-1)^j \binom{2n-j}{j} 3^{n-j}$$

which can be done with the negative binomial series and induction. □

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p -adic Methods

Proposition (Mignotte and Tzanakis, 1991)

Suppose that \mathcal{M} is a finite set of solutions $m \in \mathbb{Z}$ to the equation $u_n = c$, where $c \not\equiv 0 \pmod{p}$ or $c = 0$.

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This result allows us to verify that a set of solutions \mathcal{M} in fact contains *all* solutions to $u_n = c$.

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$$u_n = \alpha_1 \omega_1^n + \dots + \alpha_k \omega_k^n$$

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for some $\alpha_1, \dots, \alpha_k \in \mathbb{C}$. Using this formula, it makes sense to consider u_n for any $n \in \mathbb{Z}$.

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Recall that our explicit recurrence for $\text{Tr } T_3(2k)$ involved the sequences

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We let $u_n = a_n + b_n$.

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While it's certainly possible to tackle the characteristic polynomial of $t_k = \text{Tr } T_3(2k)$ directly, we'll break it into three cases. This better illustrates some "dead ends" one can run into.

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The first few values of each sequence are:

n	-1	0	1	2	3	4	5	6
u_n	$-2/3$	2	-1	-10	26	-1	-10	-730
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The roots of g (reduced modulo 59) turn out to be quadratic residues, so

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- Here $u_n - 3^n = -1$ has a negative solution. If one takes the system of residues $\mathcal{P} = \{-1, \dots, 27\}$ to accommodate for the negative solution, $(p, S, A) = (59, 29, 1)$ will work.

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For example, another paper of Frechette, Ono, and Papanikolas (2004) showed that for $k \geq 2$

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My current research project is extending the methods of Rouse to show the non-vanishing of T_3 in all levels.

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Questions?